

ANNEXURE TO THE DIRECTORS' REPORT

Annexure 1

Form MGT 9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2018

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:	
CIN	L65910PB1981PLCO23058
Registration Date	28.11.1981
Name of the Company	KOVALAM INVESTMENT AND TRADING COMPANY LTD
Category/Sub-Category of the Company	COMPANY HAVING SHARE CAPITAL
Address of the Registered office and contact details	PREMISES OSWAL WOOLLEN MILLS LTD, G.T ROAD , SHERPUR , LUDHIANA.141003. PUNJAB. PHONE: 0161 5066602, FAX : 0161 5066605 E MAIL : KOVALAM@OWMNAHAR.COM
Whether listed company	YES
Name, Address and Contact details of Registrar and Transfer Agent, if any	M/s. Alankit Assignments Limited (Unit: Kovalam Investment & Trading Co. Ltd.) Alankit House, 1E/13, Jhandewalan Extension New Delhi-110 055 Telephone No. : (011) 42541234 Fax No. : (011) 42541201 E-mail address : rta@alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:			
All the Business Activities contributing 10% or more of the total turnover of the Company (on standalone basis) are given below:			
Sl No.	Name and Description of main products/ services	NIC Code of the Product/ Service	% to total turnover of the Company
1	INVESTMENT ACTIVITIES	13010	
2	FINANCIAL ADVISORS & BROKERS	13016	

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:				
Name and Address of the Company	CIN/ GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
Hug Foods Private Limited	U55100PB2011PTC035473	Associate	24 %	SECTION 2(6)

IV. SHAREHOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

i) Category- wise shareholding									
Category of Shareholders	No. of shares held at the beginning of the year (April 1, 2017)				No. of shares held at the end of the year (March 31, 2018)				% change during the year
	Demat	Physical	Total	% of Total shares	Demat	Physical	Total	% of Total shares	
A. Promoters									
(1) Indian :									
a) Individual/ HUF	0	79530	79530	3.58	0	79530	79530	3.58	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt.	0	0	0	0	0	0	0	0	0
d) Bodies Corporate	0	1587460	1587460	71.38	0	1587460	1587460	71.38	0
e) Banks/ FI	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0

Sub Total (A) (1)	0	1666990	1666990	74.96	0	1666990	1666990	74.96	0
(2) Foreign :									
a) NRIs- Individuals	0	0	0	0	0	0	0	0	0
b) Other-Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks/ FI	0	0	0	0	0	0	0	0	0
e) Any Other	0	0	0	0	0	0	0	0	0
Sub Total (A) (2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoters (A)= (A) (1)+(A) (2)	0	1666990	1666990	74.96	0	1666990	1666990	74.96	0
B. Public Shareholding									
(1) Institutions :									
a) Mutual Funds/ UTI	0	0	0	0	0	0	0	0	0
b) Banks/ FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Specify)	0	0	0	0	0	0	0	0	0
Sub-Total (B) (1)	0	0	0	0	0	0	0	0	0
(2) Non-Institutions :									
a) Bodies Corporate									
i) Indian	0	78100	78100	3.51	0	78100	78100	3.51	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	0	379424	379424	17.06	0	3794234	379424	17.06	0
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	0	99400	99400	4.47	0	99400	99400	4.47	0
c) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-Total (B)(2)	0	556924	556924	25.04	0	556924	556924	25.04	0
Total Public Shareholding (B)= (B) (1)+ (B) (2)	0	556924	556924	25.04	0	556924	556924	25.04	0
C. Shares held by Custodian for	0	0	0	0	0	0	0	0	0

GDRs & ADRs									
Grand Total (A+B+C)	0	2223914	2223914	100	0	2223914	2223914	100	0

ii) Shareholding of Promoters:

Shareholders Name	Shareholding at the beginning of the year (April 1, 2017)			Shareholding at the end of the year (March 31, 2018)			% change in shareholding during the year
	No. of shares	% of total shares of the Company	% of Shares pledged/ encumbered to total shares	No. of shares	% of total shares of the Company	% of Shares pledged/ encumbered to total shares	
ABHILASH GROWTH FUND PVT LTD	73850	3.32	0	73850	3.32	0	0
J.L GROWTH FUND LTD	91130	4.10	0	91130	4.10	0	0
NAHAR GROWTH FUND P LTD	20000	0.90	0	20000	0.90	0	0
SUVRAT TRADING CO. LTD	160035	7.20	0	160035	7.20	0	0
NAGDEVI TRADING & INVESTMENT CO. LTD	830685	37.35	0	830685	37.35	0	0
VANAİK INVESTORS LTD	123360	5.55	0	123360	5.55	0	0
SANKESHWAR HOLDING CO. LTD	176400	7.93	0	176400	7.93	0	0
ATAM VALLABH FINANCIERS LTD	15000	0.67	0	15000	0.67	0	0
VARDHMAN INVESTMENTS LTD	10000	0.45	0	10000	0.45	0	0
SH. JAWAHARLAL OSWAL	30000	1.35	0	30000	1.35	0	0
SH. KAMAL OSWAL	19600	0.88	0	19600	0.88	0	0
SH. DINESH OSWAL	29930	1.35	0	29930	1.35	0	0
OSWAL WOOLLEN MILLS LTD	87000	3.91	0	87000	3.91	0	0
TOAL	1666990	74.96	0	1666990	74.96	0	

iii) Change in Promoters' Shareholding (Please specify, if there is no change)

There is no change in total Promoters Shareholding during the year ,

Shareholding at the beginning of the year			Cumulative Shareholding during the year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year				
Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase /decrease (e.g. allotment/ transfer/ bonus/ sweat equity, etc.)				
At the end of the year				

iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

Name	Shareholding at the	Date	Increase/	Reason	Cumulative Shareholding during
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	beginning of the year			Decrease in shareholding		the year	
	No. of shares	% of total shares of the Company				No. of Shares	% of total shares of the Company
HANUMAN JHUNJHUNWALA	71000	3.92	NA	NIL	NA	71000	3.92
FAYETTE TRADING & INVESTMENT CO. LTD	42600	1.92	NA	NIL	NA	42600	1.92
SUBASH MADAN	28400	1.28	NA	NIL	NA	28400	1.28
CROUSE INVESTMENT PVT LTD	24850	1.12	NA	NIL	NA	24850	1.12
JAGDISH MAL LODHA	14200	0.64	NA	NIL	NA	14200	0.64
CHAND RATAN BIYANI	14200	0.64	NA	NIL	NA	14200	0.64
KAMAL HOLDING CO. LTD	10650	0.48	NA	NIL	NA	10650	0.48
DALIP PATHAK	6390	0.29	NA	NIL	NA	6390	0.29
NIRMALA PETER	710	0.03	NA	NIL	NA	710	0.03
JOHN PETER	710	0.03	NA	NIL	NA	710	0.03

Note:

v) Shareholding of Directors and Key Managerial Personnel

---- NIL-----

Name	Shareholding at the beginning of the year		Date	Increase/Decrease in share holding	Reason	Cumulative Shareholding during the year	
	No. of shares	% of total shares of the Company				No. of shares	% of total shares of the Company
-----NIL-----		-----NIL -----		-----NIL ----		---- NIL -----	

Note:

Indebtedness of the Company including interest outstanding/accrued but not due for payment

---NIL---

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	NIL	NIL	NIL	NIL
ii) Interest due but not paid	NIL	NIL	NIL	NIL
iii) Interest accrued but not due	NIL	NIL	NIL	NIL
Total (i+ii+iii)	NIL	NIL	NIL	NIL
Change in Indebtedness during the year				
Addition	NIL	NIL	NIL	NIL
Reduction	NIL	NIL	NIL	NIL
Net Change	NIL	NIL	NIL	NIL
Indebtedness at the end of the financial year				
i) Principal Amount	NIL	NIL	NIL	NIL
ii) Interest due but not paid	NIL	NIL	NIL	NIL
iii) Interest accrued but not due	NIL	NIL	NIL	NIL
Total (i+ii+iii)	NIL	NIL	NIL	NIL

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole Time Directors and/ or Manager				
				(Rs Lakh)
Particulars of Remuneration				Total Amount
1. Gross Salary				
a) Salary as per provisions contained in Section 17 (1) of the Income Tax Act, 1961	NIL	NIL	NIL	NIL
b) Value of perquisites under Section 17 (2) of the Income Tax Act, 1961	NIL	NIL	NIL	NIL
c) Profits in lieu of salary under Section 17 (3) of the Income Tax Act, 1961	NIL	NIL	NIL	NIL
2. Stock Options	NIL	NIL	NIL	NIL
3. Sweat Equity	NIL	NIL	NIL	NIL
4. Commission				
- as % of profit	NIL	NIL	NIL	NIL
- others, specify	NIL	NIL	NIL	NIL
5. Others, please specify				
a) Retiral benefits	NIL	NIL	NIL	NIL
TOTAL (A)	NIL	NIL	NIL	NIL
Ceiling limit	The remuneration to Managing Director and Whole Time Directors has been paid within the limits approved by the Central Government in each case. -----NA-----			

B. Remuneration to Other Directors

1. Independent Directors(Rs. In lacs)				
Particulars of Remuneration				Total amount (Rs. Lakh)
- Fee for attending Board/ Committee Meetings	NIL	NIL	NIL	NIL
- Commission	NIL	NIL	NIL	NIL
- Others, please specify	NIL	NIL	NIL	NIL
TOTAL (B)(1)	NIL	NIL	NIL	NIL

2. Other Non-Executive Directors (Rs. In lacs)

Particulars of Remuneration				Total amount (Rs. Lakh)
- Fee for attending Board/ Committee Meetings	NIL	NIL	NIL	NIL
- Commission	NIL	NIL	NIL	NIL
- Others, please specify	NIL	NIL	NIL	NIL
TOTAL (B)(2)	NIL	NIL	NIL	NIL
TOTAL (B)= (B)(1)+(B)(2)				NIL

Overall Ceiling as per the Act . -----NA-----

C. Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD

THE WORKING IS CONTROLLED BY THE BOARD OF DIRECTORS

(Rs. In lacs)

Particulars of Remuneration				Total amount (Rs. Lakh)
1. Gross Salary				
d) Salary as per provisions contained in Section 17 (1) of the Income Tax Act, 1961	NIL	NIL	NIL	NIL
e) Value of perquisites under Section 17 (2) of the Income Tax Act, 1961	NIL	NIL	NIL	NIL
f) Profits in lieu of salary under Section 17 (3) of the	NIL	NIL	NIL	NIL

Income Tax Act, 1961			
2. Stock Options	NIL	NIL	NIL
3. Sweat Equity	NIL	NIL	NIL
4. Commission			
- as % of profit	NIL	NIL	NIL
- others, specify	NIL	NIL	NIL
5. Others, please specify			
a) Retiral benefits	NIL	NIL	NIL
TOTAL (C)	NIL	NIL	NIL

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:					
Type	Section of the Companies Act	Brief Description	Details of penalty/ punishment/ compounding fees imposed	Authorities (RD/ NCLT/ Court)	Appeal made, if any (give details)
A. COMPANY					
Penalty/ Punishment / Compounding				NONE	
B. DIRECTORS					
Penalty/ Punishment / Compounding				NONE	
C. OTHER OFFICERS IN DEFAULT					
Penalty/ Punishment / Compounding				NONE	