

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

AS ON FINANCIAL YEAR ENDED MARCH 31, 2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L65910DL1983PLC016036
2	Registration Date	30.06.1983
3	Name of the Company	OSWAL LEASING LIMITED
4	Category/Sub-category of the Company	Company limited by shares/ Non-govt company
5	Address of the Registered office & contact details	105, Ashoka Estate, 24, Barakhamba Road, New Delhi-110001 Ph. No. 011 23722935, 011 23313955 Email Id: oswal_leasing@owmnaahar.com Website: www.owmnaahar.com
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	M/s. Alankit Assignments Limited (Unit: Oswal Leasing Limited) Alankit House, 4E/2, Jhandewalan Extension, New Delhi-110055 Ph. No.: 011 - 42541234 / 23541234 Email Address: rta@alankit.com Website: www.alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Investment/Financial activities	6430	100.00%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/Associate	NIC Code of the Product/service	% to total turnover of the company
1	N.A.	N.A.	N.A.	N.A.	N.A.

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 01-April-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	0	1	1	0.00%	1	0	1	0.00%	0.00%
b) Central Govt	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) State Govt(s)	0	0	0	0.00%	0	0	0	0.00%	0.00%
d) Bodies Corp.	1,29,898	0	1,29,898	25.98%	1,29,898	0	1,29,898	25.98%	0.00%
e) Banks / FI	0	0	0	0.00%	0	0	0	0.00%	0.00%
f) Any other	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub Total (A) (1)	1,29,898	1	1,29,899	25.98%	1,29,899	0	1,29,899	25.98%	0.00%
(2) Foreign									
a) NRI Individuals	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Other Individuals	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) Bodies Corp.	0	0	0	0.00%	0	0	0	0.00%	0.00%
d) Any other	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub Total (A) (2)	0	0	0	0.00%	0	0	0	0.00%	0.00%
TOTAL (A)	1,29,898	1	1,29,899	25.98%	1,29,899	0	1,29,899	25.98%	0.00%

B. Public									
1. Institutions									
a) Mutual Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Banks / FI	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) Central Govt	0	0	0	0.00%	0	0	0	0.00%	0.00%
d) State Govt(s)	0	0	0	0.00%	0	0	0	0.00%	0.00%
e) Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
f) Insurance	0	0	0	0.00%	0	0	0	0.00%	0.00%
g) FIs	0	0	0	0.00%	0	0	0	0.00%	0.00%
h) Foreign Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0.00%
i) Others (specify)	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub-total (B)(1):-	0	0	0	0.00%	0	0	0	0.00%	0.00%
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	0	13300	13300	2.66%	0	13300	13300	2.66%	0.00%
ii) Overseas	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Individuals	0	0	0	0.00%	0	0	0	0.00%	0.00%
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	24200	332601	3,56,801	71.36%	27600	329201	3,56,801	71.36%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	0	0	0.00%	0	0	0	0.00%	0.00%
c) Others (specify)	0	0	0	0.00%	0	0	0	0.00%	0.00%
Non Resident Indians	0	0	0	0.00%	0	0	0	0.00%	0.00%
Overseas Corporate Bodies	0	0	0	0.00%	0	0	0	0.00%	0.00%
Foreign Nationals	0	0	0	0.00%	0	0	0	0.00%	0.00%
Clearing Members	0	0	0	0.00%	0	0	0	0.00%	0.00%
Trusts	0	0	0	0.00%	0	0	0	0.00%	0.00%
Foreign Bodies - D R	0	0	0	0.00%	0	0	0	0.00%	0.00%
Sub-total (B)(2):-	24,200	3,45,901	3,70,101	74.02%	27,600	3,42,501	3,70,101	74.02%	0.00%
Total Public (B)	24,200	3,45,901	3,70,101	74.02%	27,600	3,42,501	3,70,101	74.02%	0.00%
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00%	0	0	0	0.00%	0.00%
Grand Total (A+B+C)	1,54,098	3,45,902	5,00,000	100.00%	1,57,499	3,42,501	5,00,000	100.00%	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year [As on 01-April-2019]			Shareholding at the end of the year [As on 31-March-2020]			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Atam Vallabh Financiers Limited	900	0.18%	-	900	0.18%		0.00%
2	Suvrat Trading Co. Limited	12,248	2.45%	-	12,248	2.45%		0.00%
3	Kovalam Investment & Trading Co. Ltd.	14,200	2.84%	-	14,200	2.84%		0.00%
4	Nagdevi Trading & Investment Co. Ltd.	39,050	7.81%	-	39,050	7.81%		0.00%
5	Sankheshwar Holding Company Ltd.	63,500	12.70%	-	63,500	12.70%		0.00%
6	Sh. Kamal Oswal	1	0.00%	-	1	0.00%		0.00%
	Total	1,29,899	25.98%		1,29,899	25.98%		0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	At the beginning of the year	129899	25.98%	129899	25.98%
	Changes during the year	NO CHANGE DURING THE YEAR UNDER REVIEW.			
	At the end of the year	129899	25.98%	129899	25.98%

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	The Uttar Pradesh Stock Exchange Ass.Ltd				
	At the beginning of the year	9,800	1.96%	9,800	1.96%
	Changes during the year	Nil movement during the year			
	At the end of the year	9,800	1.96%	9,800	1.96%
2	Harjot Singh				
	At the beginning of the year	8,350	1.55%	8,350	1.55%
	Changes during the year	Nil movement during the year			
	At the end of the year	8,350	1.55%	8,350	1.55%
3	Pushkal				
	At the beginning of the year	5,050	1.01%	5,050	1.01%
	Changes during the year	Nil movement during the year			
	At the end of the year	5,050	1.01%	5,050	1.01%
4	Barjesh Singhal				
	At the beginning of the year	3,750	0.75%	3,750	0.75%
	Changes during the year	Nil movement during the year			
	At the end of the year	3,750	0.75%	3,750	0.75%
5	Romesh K. Aggarwal				
	At the beginning of the year	2,650	0.53%	2,650	0.53%
	Changes during the year	Nil movement during the year			
	At the end of the year	2,650	0.53%	2,650	0.53%
6	Lalit Bhasin				
	At the beginning of the year	2,450	0.49%	2,450	0.49%
	Changes during the year	Nil movement during the year			
	At the end of the year	2,450	0.49%	2,450	0.49%
7	Sanjay Kumar Jain				
	At the beginning of the year	2,200	0.44%	2,200	0.44%
	Changes during the year	Nil movement during the year			
	At the end of the year	2,200	0.44%	2,200	0.44%
8	Nita Jain				
	At the beginning of the year	2,100	0.42%	2,100	0.42%
	Changes during the year	Nil movement during the year			
	At the end of the year	2,100	0.42%	2,100	0.42%
9	CL Aggarwal				
	At the beginning of the year	2,000	0.40%	2,000	0.40%
	Changes during the year	Nil movement during the year			
	At the end of the year	2,000	0.40%	2,000	0.40%
10	Ramesh Dayal Singhal				
	At the beginning of the year	2,000	0.40%	2,000	0.40%
	Changes during the year	Nil movement during the year			
	At the end of the year	2,000	0.40%	2,000	0.40%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares	No. of shares	% of total shares
1	Sh. Kamal Oswal				
	At the beginning of the year	1	0.00%	1	0.00%
	Changes during the year	0	0.00%	0	0.00%
	At the end of the year	1	0.00%	1	0.00%

*Rest of the directors and Key Managerial Personnel do not hold any equity share of the Company.

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amount in Rs.)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	0	0	0	0
Change in Indebtedness during the financial year				
* Addition	0	0	0	0
* Reduction	0	0	0	0
Net Change	0	0	0	-
Indebtedness at the end of the financial year				
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
	Name	Surbhi Arora*	(in Rs.)
	Designation	Manager Cum Chief Financial Officer	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	2,04,000.00	2,04,000.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit	-	-
	- others, specify	-	-
5	Others, please specify	-	-
	Total (A)	2,04,000.00	2,04,000.00
	Ceiling as per the Act	5% of the Net Profits i.e. Rs. 12887.8	
	* Ms. Surbhi Arora was appointed w.e.f. April 25, 2019 and had been paid the remuneration as approved by the Shareholders of the Company by way of Special Resolution passed in the 35th Annual General Meeting of the Company held on 30.09.2019.		

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount (in Rs.)
		Sh.Vijay Kumar Gupta	Sh. Navdeep Sharma		
1	Independent Directors				
	Fee for attending board/committee meetings	12,500	12,500		25,000
	Commission	0	0		0
	Others, please specify	0	0		0
	Total (1)	12,500	12,500		25,000
2	Other Non-Executive Directors	Sh.Kamal Oswal	Sh. Dinesh Gogna	Smt. Monica Oswal	-
	Fee for attending board/committee meetings	10,000	10,000	12,500	32,500
	Commission	0	0	0	0
	Others, please specify	0	0	0	0
	Total (2)	10,000	10,000	12,500	32,500
	Total (B)=(1+2)	22,500	22,500	12,500	57,500
	Total Managerial Remuneration (A+B)				2,61,500
	Overall Ceiling as per the Act	11% of the Net Profits i.e. Rs. 28,353.16			

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel		Total Amount (in Rs.)
		Palak Narang	Company Secretary	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		3,93,167.00	3,93,167.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit - others, specify	-	-	-
5	Others, please specify	-	-	-
	Total		3,93,167.00	3,93,167.00
	* Ms. Palak Narang was appointed w.e.f. April 25, 2019			

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

There were no penalties/punishments/compounding of offences for breach of any section of the Companies Act, 2013 against the Company, it's Directors or other officers in default, during the financial year ended March 31, 2020. However, the Company paid fine of Rs. 2,43,080/- as imposed by the BSE Limited, Stock Exchange for non-appointment of Qualified Company Secretary as Compliance Officer as per Regulation 6(1) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period prior to the appointment of Company Secretary. The regular Company Secretary as Compliance Officer was appointed on April 25, 2019.

For and on behalf of the Board
For Oswal Leasing Limited

Place: New Delhi
Dated: 31.07.2020

(Vijay Kumar Gupta) (Navdeep Sharma)
Director Director
DIN: 00506399 DIN: 00454285