



**FORM NO. MGT - 9  
EXTRACT OF ANNUAL RETURN  
as on the financial year ended on 31.03.2016  
[Pursuant to Section 92 (3) of the Companies Act, 2013, and Rule 12 (1) of the Companies  
(Management and Administration) Rules, 2014]**

**I. REGISTRATION AND OTHER DETAILS :**

i)	CIN:	L45202PB2006PLC029968
ii)	Registration Date:	31st March, 2006
iii)	Name of the Company:	NAHAR CAPITAL AND FINANCIAL SERVICES LIMITED
iv)	Category / Sub-Category of the Company:	Company limited by shares/ Indian Non-Government Company
v)	Address of the Registered Office and contact details:	375 Industrial Area - A, Ludhiana - 141003 Tel. No. 0161-2665000 E-mail: secncfs@owmnahar.com
vi)	Whether listed company:	Yes
vii)	Name, address and contact details of Registrar and Transfer Agent, if any	M/s. Alankit Assignments Limited, Alankit House, 2E/21, Jhandewalan Extension, New Delhi - 110055 Tel. No. 011-23541234 E-mail: info@alankit.com

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the Company
1.	Investment/Financial Activities	6430	100

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES –**

S. NO.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLI CABLE SECTION
1.	NAHAR SPINNING MILLS LIMITED 373, Industrial Area - A, Ludhiana - 141003	L17115PB1980PLC004341	ASSOCIATE	28.44	2(6)
2.	NAHAR POLY FILMS LIMITED 376, Industrial Area - A, Ludhiana - 141003	L17115PB1988PLC008820	ASSOCIATE	49.16	2(6)
3.	NAHAR INDUSTRIAL ENTERPRISES LIMITED Focal Point, Ludhiana - 141010	L15143PB1983PLC018321	ASSOCIATE	23.44	2(6)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

**I) Category-wise Shareholding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/HUF	39075	-	39075	0.23	39075	-	39075	0.23	0.00
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-



d) Bodies Corp.	11742730	-	11742730	70.12	11752930	-	11752930	70.18	0.06
e) Banks/FI	-	-	-	-	-	-	-	-	-
f) Any other...	-	-	-	-	-	-	-	-	-
<b>Sub-total (A) (1):-</b>	<b>11781805</b>	-	<b>11781805</b>	<b>70.36</b>	<b>11792005</b>	-	<b>11792005</b>	<b>70.42</b>	<b>0.06</b>
<b>(2) Foreign</b>									
a) NRIs-Individual	-	-	-	-	-	-	-	-	-
b) Other-Individual	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
<b>Sub-total (A) (2):-</b>	<b>11781805</b>		<b>11781805</b>	<b>70.36</b>	<b>11792005</b>	-	<b>11792005</b>	<b>70.42</b>	<b>0.06</b>
<b>Total Shareholding of Promoter (A)= (A)(1)+(A)(2)</b>	<b>11781805</b>	-	<b>11781805</b>	<b>70.36</b>	<b>11792005</b>	-	<b>11792005</b>	<b>70.42</b>	<b>0.06</b>
<b>B. Public Shareholding</b>									
<b>(1) Institutions</b>									
a) Mutual Funds	1600	3830	5430	0.03	1600	3830	5430	0.03	0.00
b) Banks/FI	4379	1738	6117	0.04	4379	1738	6117	0.04	0.00
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	600	600	0.00	-	600	600	0.00	0.00
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	<b>5979</b>	<b>6168</b>	<b>12147</b>	<b>0.07</b>	<b>5979</b>	<b>6168</b>	<b>12147</b>	<b>0.07</b>	<b>0.00</b>
<b>(2) Non-Institutions</b>									
a) Bodies Corp.									
i. Indian	647737	4296	652033	3.89	563493	4246	567739	3.39	-0.5
ii. Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i. Individual shareholders holding nominal share capital upto ₹ 1 lakh	3064650	476510	3541160	21.15	3037106	465009	3502115	20.91	-0.24
ii. Individual shareholders holding nominal share capital in excess of ₹ 1 lakh	685119	-	685119	4.09	734884	-	734884	4.39	0.30
c) Others (specify)									
i. NRI	73272	431	73703	0.44	136646	431	137077	0.82	0.38
ii. OCB	-	200	200	0.00	-	200	200	0.00	0.00
<b>Sub Total (B) (2):-</b>	<b>4470778</b>	<b>481437</b>	<b>4952215</b>	<b>29.57</b>	<b>4472129</b>	<b>469886</b>	<b>4942015</b>	<b>29.51</b>	<b>-0.06</b>
<b>Total Public Share Holding (B)=(B) (1)+(B)(2)</b>	<b>4476757</b>	<b>487605</b>	<b>4964362</b>	<b>29.64</b>	<b>4478108</b>	<b>476054</b>	<b>4954162</b>	<b>29.58</b>	<b>-0.06</b>
<b>C. Share held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	<b>16258562</b>	<b>487605</b>	<b>16746167</b>	<b>100</b>	<b>16270113</b>	<b>476054</b>	<b>16746167</b>	<b>100</b>	<b>0.00</b>



## ii. Shareholding of Promoters

S. No.	Shareholder's Name	Shareholding held at the beginning of the year			Shareholding held at the end of the year			% change in shareholding during the year
		No. of Shares	% of Total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of Total Shares of the Company	% of Shares pledged/encumbered to total shares	
1.	Ruchika Oswal	11555	0.07	-	11555	0.07	-	0.00
2.	Abhilash Oswal	16000	0.10	-	16000	0.10	-	0.00
3.	Monica Oswal	11520	0.07	-	11520	0.07	-	0.00
4.	Abhilash Growth Fund (P) Ltd.	24750	0.15	-	24750	0.15	-	0.00
5.	Atam Vallabh Financiers Ltd.	56590	0.34	-	56590	0.34	-	0.00
6.	Bermunda Insurance Brokers (P) Ltd.	20450	0.12	-	20450	0.12	-	0.00
7.	J.L Growth Fund Ltd.	107516	0.64	-	107516	0.64	-	0.00
8.	Kovalam Investment & Trading Co. Ltd.	371527	2.22	-	371527	2.22	-	0.00
9.	Monica Growth Fund (P) Ltd.	24080	0.14	-	24080	0.14	-	0.00
10.	Nagdevi Trading & Investment Co. Ltd.	641452	3.83	-	641452	3.83	-	0.00
11.	Nahar Poly Films Ltd.	6611632	39.48	-	6611632	39.48	-	0.00
12.	Nahar Growth Fund (P) Ltd.	182054	1.09	-	182054	1.09	-	0.00
13.	Nahar Industrial Enterprises Ltd.	1363221	8.14	-	1363221	8.14	-	0.00
14.	Neha Credit and Investment (P) Ltd.	30200	0.18	-	30200	0.18	-	0.00
15.	Oswal Woollen Mills Ltd.	1322173	7.90	-	1322173	7.90	-	0.00
16.	Ruchika Growth Fund (P) Ltd.	25050	0.15	-	25050	0.15	-	0.00
17.	Sankheshwar Holding Co. Ltd.	152425	0.91	-	152425	0.91	-	0.00
18.	Vanaik Investors Ltd.	179314	1.07	-	179314	1.07	-	0.00
19.	Vardhman Investments Ltd.	62640	0.37	-	62640	0.37	-	0.00
20.	Nahar Spinning Mills Ltd.	567656	3.39	-	577856	3.45	-	0.06
	<b>Total</b>	<b>11781805</b>	<b>70.36</b>	<b>-</b>	<b>11792005</b>	<b>70.42</b>	<b>-</b>	<b>0.06</b>



iii) Change in Promoters' Shareholding (Please Specify, if there is no change)

S. No.		Shareholding at the beginning of the year		Date wise Increase/Decrease in Shareholding during the year			Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	Date	Increase/Decrease	Reason	No. of Shares	% of total shares of the Company
	At the Beginning of the year	11781805	70.36	01.04.2015	-	-		
	Change during the year	--	--	12.02.2016	10200	Purchase	11792005	70.42
	At the end of the year	11792005	70.42	31.03.2016	-	-		

iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs):

S. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year (01.04.2015)/ end of the year (31.03.2016)		Date wise Increase/Decrease in Shareholding during the year			Cumulative share holding during the year (01.04.2015 to 31.03.2016)			
		No. of Shares	% of total shares of the Company	Date	Increase/Decrease	Reason	No. of Shares	% of total Shares of the Company		
1.	Sangeetha S	211390	1.26	01.04.2015			213997	1.28		
				10.04.2015	2607	Purchase			214450	1.28
				14.08.2015	453	Purchase			215350	1.29
				04.03.2016	900	Purchase				
		215350	1.29	31.03.2016						
2.	Columbus Stock Broking Pvt. Ltd.	159685	0.95	01.04.2015			162990	0.97		
				26.06.2015	3305	Purchase			170111	1.02
				14.08.2015	7121	Purchase			170646	1.02
				09.10.2015	535	Purchase			170070	1.02
				06.11.2015	-576	Sale				
		170070	0.95	31.03.2016						
3.	Rakesh Rajkrishan Aggarwal#	119795	0.72	01.04.2015			119795	0.72		
				31.03.2016		Nil movement during the year				
4.	Shanthy General Finance P Ltd.	58681	0.35	01.04.2015			59184	0.35		
				01.05.2015	503	Purchase			59219	0.35
				08.05.2015	35	Purchase				
		59219	0.35	31.03.2016						



5.	Rajgaj Traders Pvt. Ltd.	55645	0.33	01.04.2015				
				17.04.2015	500	Purchase	56145	0.34
				24.04.2015	2000	Purchase	58145	0.35
				29.05.2015	250	Purchase	58395	0.35
				19.02.2016	4754	Purchase	63149	0.38
				18.03.2016	-150	Sale	62999	0.38
		62999	0.38	31.03.2016				
6.	Kamlesh V Shah (HUF)	41581	0.25	01.04.2015				
				05.06.2015	145	Purchase	41726	0.25
				11.02.2016	152	Purchase	41878	0.25
		41878	0.25	31.03.2016				
7.	Neerav Girish Puri	37000	0.22	01.04.2015				
				17.07.2015	1182	Purchase	38182	0.23
				24.07.2015	818	Purchase	39000	0.23
				31.07.2015	3000	Purchase	42000	0.25
				07.08.2015	1000	Purchase	43000	0.26
		43000	0.26	31.03.2016				
8.	Girishchandra Balkrishan Puri	36000	0.22	01.04.2015				
				01.05.2015	460	Purchase	36460	0.22
				08.05.2015	335	Purchase	36795	0.22
				15.05.2015	693	Purchase	37488	0.22
				29.05.2015	5000	Purchase	42488	0.25
				05.06.2015	12000	Purchase	54488	0.33
				12.06.2015	512	Purchase	55000	0.33
				26.06.2015	276	Purchase	55276	0.33
				03.07.2015	1235	Purchase	56511	0.34
				10.07.2015	849	Purchase	57360	0.34
				17.07.2015	100	Purchase	57460	0.34
				24.07.2015	540	Purchase	58000	0.35
				16.10.2015	2765	Purchase	60765	0.36
				06.11.2015	1876	Purchase	62641	0.37
				18.12.2015	375	Purchase	63016	0.38
				25.12.2015	735	Purchase	63751	0.38
				08.01.2016	376	Purchase	64127	0.38
				12.02.2016	410	Purchase	64537	0.39
26.02.2016	4000	Purchase	68537	0.41				
		68537	0.41	31.03.2016				
9.	Parash Kumar Jain	31783	0.19	01.04.2015				
				31.12.2015	9545	Purchase	41328	0.25
		47108	0.28	31.03.2016	5780	Purchase	47108	0.28
10.	Chamanlal Desai (HUF)#	34600	0.21	01.04.2015	0	Nil	34600	0.21
				31.03.2016		movement during the year		
11.	Garima Girish Puri*	0	0.00	01.04.2015				
				25.03.2016	71201	Purchase	71201	0.43
				31.03.2016				
12.	Vellaiappan Kumarappan*	3506	0.02	01.04.2015				
				14.08.2015	2500	Purchase	6006	0.02
				16.10.2015	4396	Purchase	10402	0.06
				23.10.2015	200	Purchase	10602	0.06
				30.10.2015	3127	Purchase	13729	0.08
				06.11.2015	12300	Purchase	26029	0.16
				11.12.2015	100	Purchase	26129	0.16
				18.12.2015	100	Purchase	26229	0.16



				31.12.2015	20118	Purchase	46347	0.28
				08.01.2016	20	Purchase	46367	0.28
				15.01.2016	110	Purchase	46477	0.28
				29.01.2016	10	Purchase	46487	0.28
				05.02.2016	10	Purchase	46497	0.28
		46497	0.28	31.03.2016				

\*Not in the list of Top 10 shareholders as on 01.04.2015. The same has been reflected above since the shareholder was one of the Top 10 shareholders as on 31.03.2016.

#Ceased to be in the list of Top 10 shareholders as on 31.03.2016. The same is reflected above since the shareholder was one of the Top 10 shareholders as on 01.04.2015.

**v) Shareholding of Directors and Key Managerial Personnel**

Sl. No.	For Each of the Directors	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the Company
	At the beginning of the year	None of the Director hold shares in the Company			
	Date wise Increase/Decrease in shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/ sweat equity etc):				
	At the end of the Year				

S. No.	For Each of the KMP	Shareholding at the beginning of the year (01.04.2015)/ end of the year (31.03.2016)		Date wise Increase/Decrease in Shareholding during the year			Cumulative share holding during the year (01.04.2015 to 31.03.2016)	
		No. of Shares	% of total shares of the Company	Date	Increase/Decrease	Reason	No. of Shares	% of total Shares of the Company
1.	Mr. Dinesh Oswal Managing Director	Nil	Nil	01.04.2015	Nil	Nil Holding during the year	Nil	Nil
		Nil	Nil	31.03.2016				
2.	Mr. Hans Raj Kapoor Chief Financial Officer	200	0.001	01.04.2015				
		450	0.003	27.12.2015	250	Purchase	450	0.003
				31.03.2016				
3.	Mrs. Anjali Modgil Company Secretary	Nil	Nil	01.04.2015	Nil	Nil Holding during the year	Nil	Nil
		Nil	Nil	31.03.2016				



**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rs. in Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	0.00	0.00	0.00	0.00
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
<b>Total (i+ii+iii)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Change in Indebtedness during the financial year</b>				
• Addition	1496.01	0.00	0.00	1496.01
• Reduction	0.00	0.00	0.00	0.00
<b>Net Change</b>	<b>1496.01</b>	<b>0.00</b>	<b>0.00</b>	<b>1496.01</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	1496.01	0.00	0.00	1496.01
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
<b>Total (i+ii+iii)</b>	<b>1496.01</b>	<b>0.00</b>	<b>0.00</b>	<b>1496.01</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**A. Remuneration to Managing Director, Whole Time Director and / or Manager.**

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager Mr. Dinesh Oswal Managing Director*	Total Amount
1.	Gross Salary		
	a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	1,20,00,000.00	1,20,00,000.00
	b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	-	-
	c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961	-	-
2.	Stock Option	-	-
3.	Sweat Equity	-	-
4.	Commission		
	- As % of Profit	-	-
	- Others, specify...	-	-
5.	Others, Please specify	-	-
	<b>Total (A)</b>	<b>1,20,00,000.00</b>	<b>1,20,00,000.00</b>
	Celling as per the Act.	5% of the Net Profits i.e. Rs. 74.82 Lakhs	

\*The Company has paid remuneration to Mr. Dinesh Oswal, Managing Director as per Central Government approval vide its letter no. SRNC36933985/2014-CL. VII Dated 17th February, 2016.



**B. Remuneration to other Directors :**

**1. Independent Directors**

S. No.	Particulars of Remuneration	Name of Directors					Total Amount
		Dr. (Mrs.) H.K. Bal	Prof. K.S. Maini	Dr. S.K. Singla	Dr. Y.P. Sachdeva	Dr. A.S. Sohi	
	• Fee of attending Board/Committee Meetings	40000.00	50000.00	50000.00	50000.00	20000.00	210000.00
	• Commission	-	-	-	-	-	-
	• Others, please specify	-	-	-	-	-	-
	Total (1)	40000.00	50000.00	50000.00	50000.00	20000.00	210000.00

**2. Other Non- Executive Directors**

S. No.	Particulars of Remuneration	Name of Directors				Total Amount
		Mr. J.L. Oswal	Mr. Kamal Oswal	Mr. Dinesh Gogna	Mr. S.K. Sharma	
	• Fee of attending Board/Committee Meetings	40000.00	50000.00	50000.00	40000.00	180000.00
	• Commission	-	-	-	-	-
	• Others, please specify	-	-	-	-	-
	Total (2)	40000.00	50000.00	50000.00	40000.00	180000.00
	Total (B) = (1+2)					390000.00
	Total Managerial Remuneration					12000000.00
	Overall Ceiling as per the Act	11% of the Net Profits i.e. Rs. 164.60 Lakhs				

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		Total
		Mr. H.R. Kapoor Chief Financial Officer	Mrs. Anjali Modgil Company Secretary	
1.	Gross Salary			
	a) Salary as per provisions contained in Section 17 (1) of the Income Tax Act, 1961	1387503.00	287867.00	1675370.00
	b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	55255.00	5524.00	60779.00
	c) Profits in lieu of salary under Section 17(3) of Income Tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission			
	- As % of Profit	-	-	-
	- Others, Specify	-	-	-
5.	Others, please specify	-	-	-
	<b>Total</b>	<b>1442758.00</b>	<b>293391.00</b>	<b>1736149.00</b>

**VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES :**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
A.	COMPANY: PENALTY-PUNISHMENT-COMPOUNDING: NONE				
B.	DIRECTORS: PENALTY-PUNISHMENT-COMPOUNDING: NONE				
C.	OTHER OFFICERS IN DEFAULT: PENALTY-PUNISHMENT-COMPOUNDING: NONE				

FOR AND ON BEHALF OF THE BOARD

PLACE: LUDHIANA  
DATED: 12<sup>TH</sup> AUGUST, 2016

JAWAHAR LAL OSWAL  
(CHAIRMAN)  
(DIN: 00463866)