



Nahar

CAPITAL AND FINANCIAL SERVICES LTD.



(A NON-BANKING FINANCIAL COMPANY)

Regd. Office & Corporate Office : "Nahar Tower", 375, Industrial Area-A, Ludhiana -141 003 (INDIA)

Phones : 91-161-2600701 to 705, 5066330 to 335 Fax : 91-161-2222942, 2601956

Email : secnsm@owmnahar.com Website : www.owmnahar.com

STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company: Nahar Capital and Financial Services Ltd.			
Scrip Code: BSE : 532952		Quarter ended: 30.09.2013	
Scrip Code NSE : NAHARCAP			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		16746167	

Category	Category shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoter and Promoter Group							
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	3	39075	39075	0.23	0.23	NIL	NIL
(b)	Central Government/ State Government(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(c)	Bodies Corporate	18	11742730	11742730	70.12	70.12	NIL	NIL
(d)	Financial Institutions/ Banks	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(e)	Any other (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	Sub-Total (A) (1)	21	11781805	11781805	70.36	70.36	NIL	NIL

Co. Secretary

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-2	Foreign								
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(b)	Bodies Corporate	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(c)	Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(d)	Any other (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	Sub-Total (A) (2)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	Total shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	21	11781805	11781805	70.36	70.36		NIL	NIL
(B)	Public shareholding								
-1	Institutions								
(a)	Mutual Funds/ UTI	10	5430	1600	0.03	0.03		NIL	NIL
(b)	Financial Institutions/ Banks	24	6117	4379	0.04	0.04		NIL	NIL
(c)	Central Government/ State Government(s)	NIL	NIL	NIL	NIL	NIL		NIL	NIL
(d)	Venture Capital Funds	NIL	NIL	NIL	NIL	NIL		NIL	NIL
(e)	Insurance Companies	NIL	NIL	NIL	NIL	NIL		NIL	NIL
(f)	Foreign Institutional Investors	4	15698	15098	0.09	0.09		NIL	NIL
(g)	Foreign Venture Capital Investors	NIL	NIL	NIL	NIL	NIL		NIL	NIL
(h)	Any other (specify)	NIL	NIL	NIL	NIL	NIL		NIL	NIL
	Sub-Total (B) (1)	38	27245	21077	0.16	0.16		NIL	NIL
-2	Non-Institutions								
(a)	Bodies Corporate	290	592079	587622	3.54	3.54		NIL	NIL
(b)	Individuals -							NIL	NIL
	i. Individual shareholders holding nominal share capital up to Rs. 1 Lakh	14621	3739168	3220713	22.33	22.33		NIL	NIL
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	11	494587	494587	2.95	2.95		NIL	NIL
(C)	Any other								
	1. N.R.I.	70	111083	110652	0.66	0.66		NIL	NIL
	2. DIRECTORS AND THEIR RELATIVES	NIL	NIL	NIL	NIL	NIL		NIL	NIL
	3. TRUST	NIL	NIL	NIL	NIL	NIL		NIL	NIL
	4. OCB	1	200	NIL	0.00	0.00		NIL	NIL
	Sub-Total (B) (2)	14993	4937117	4413574	29.48	29.48		NIL	NIL
	Total Public Shareholding (B) = (B) (1) + (B) (2)	15031	4964362	4434651	29.64	29.64		NIL	NIL
	TOTAL (A) + (B)	15052	16746167	16216456	100.00	100.00		NIL	NIL

For Nahar Capital & Financial Services Ltd.

Nehar
Secretary

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(C)	Shares held by Custodians and against which Depository Receipts have been issued	NIL	NIL	15001	NIL	NIL	NIL	NIL
1	Promoter and Promoter Group	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	Public	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	GRAND TOTAL (A) + (B) + (C)	15052	16746167	16216456	100.00	100.00	NIL	NIL

Note: Abhilash Oswal, Monica Oswal and Ruchika Oswal are the relatives of Directors Sh.J.L. Oswal, Sh. Dinesh Oswal and Sh. Kamal Oswal and belong to Promoter Category. Their shareholding has been taken in Promoter Group.

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I (b) Statement showing shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered Shares			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of Shares Held	As % of grand total	Number	As a percentage	As a % of grand total	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V) / (III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Ruchika Oswal	11555	0.07	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.07
2	Abhilash Oswal	16000	0.10	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.1
3	Monica Oswal	11520	0.07	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.07
4	Abhilash Growth Fund (P) Limited	24750	0.15	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.15
5	Atam Vallabh Financiers Ltd	56590	0.34	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.34
6	Bermuda Insurance Brokers (P) Ltd.	20450	0.12	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.12
7	J L Growth Fund Ltd	107516	0.64	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.64
8	Kovalam Investment & Trading Co., Ltd	371527	2.22	NIL	NIL	NIL	NIL	NIL	NIL	NIL	2.22
9	Ludhiana Holdings Ltd	58480	0.35	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.35
10	Monica Growth Fund (P) Ltd	24080	0.14	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.14
11	Nagdevi Trading & Investment Co Ltd	641452	3.83	NIL	NIL	NIL	NIL	NIL	NIL	NIL	3.83
12	Nahar Poly Films Ltd	6611632	39.48	NIL	NIL	NIL	NIL	NIL	NIL	NIL	39.48
13	Nahar Growth Fund (P) Ltd	182054	1.09	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.09
14	Nahar Industrial Enterprises Ltd	1363221	8.14	NIL	NIL	NIL	NIL	NIL	NIL	NIL	8.14
15	Neha Credit And Investment (P) Ltd	30200	0.18	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.18
16	Oswal Woolen Mills Ltd.	1263693	7.55	NIL	NIL	NIL	NIL	NIL	NIL	NIL	7.55
17	Ruchika Growth Fund (P) Ltd	25050	0.15	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.15
18	Shankheshwar Holding Co. Ltd	152425	0.91	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.91
19	Vanaik Investors Ltd	179314	1.07	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1.07
20	Vardhman Investments Ltd	62640	0.37	NIL	NIL	NIL	NIL	NIL	NIL	NIL	0.37
21	Nahar Spinning Mills Ltd.	567656	3.39	NIL	NIL	NIL	NIL	NIL	NIL	NIL	3.39
	TOTAL	11781805	70.36	NIL	NIL	NIL	NIL	NIL	NIL	NIL	70.36

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I (C) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category " Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in Statement at Para (I) (a) above	Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share
				Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	
1	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2								
TOTAL								

I (C) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category " Public" and holding more than 5% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in Statement at Para (I) (a) above	Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
				Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	
1	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2								
TOTAL								

I (D) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoters/Public)	Number of locked in shares	Locked in Shares as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in (a) above Statement as Para (I)
1				
2				
TOTAL				

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(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in Statement at Para (I) (a) above
1				
2				
	TOTAL:			

(II) (b) Statement showing holding of depository receipts (DRs) where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in Statement at para (I) (a) above
1				
2				
	TOTAL:			

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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

(Give description of voting rights for each class of security.)

Class X:

Class Y:

Class Z:

Category code	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
	Promoter and Promoter Group						
	Indian						
(a)	Individuals/ Hindu Undivided Family						
(b)	Central Government/ State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/ Banks						
(e)	Any Other (specify)						
	Sub-Total (A)(1)						
-2	Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	Sub-Total (A)(2)						
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)						
(B)	Public shareholding						
-1	Institutions						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/ Banks						
(c)	Central Government/ State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B)(1)						
-2	Non-institutions						
(a)	Bodies Corporate						
(b)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						
(c)	Any Other (specify)						
	Sub-Total (B)(2)						
	Total Public Shareholding (B)= (B)(1)+(B)(2)						
	TOTAL (A)+(B)						
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)						

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